Siebert

800.872.0444 | www.siebert.com

We put our focus and personal attention where it belongs—on our clients.

As a Siebert client, you can expect the personal attention of a fine boutique with the products, stability and resources of a world-class brokerage firm.

Siebert Wealth

Turn your ambitions into action with help from our Siebert Wealth Management advisors

WHAT ARE YOUR FINANCIAL GOALS?

Important financial decisions call for a personalized approach. So when planning for retirement or investing for a specific goal, on your own or with a spouse or partner, it helps to have insight and support of experts you can trust.

We utilize the most e ective tools and resources available to discover, implement and fully manage solutions for all of your investment needs.

Investment services and nancial guidance available include:

Investment Products

- Tax-Advantaged Municipal Bonds
- Corporate Bonds
- U.S. & Foreign Government Agency Issues
- Top-Rated Mutual Funds
- Stocks and Options
- U.S. & Foreign Bonds, Bills & Notes
- Certi cates of Deposit (CDs)
- Annuities & life Insurance†
- New Issues[1]
- Unit Investment Trusts (UITs)
- Syndicate Issues
- Preferred Stocks
- Structured CDs
- Exchange Traded Funds (ETFs)
- Reverse Convertibles
- Municipal Bonds
- Corporate Bonds

Getting Started

1 CALL US

We'll get to learn more about you and your situation then match you with a Siebert Wealth Manager.

2 SUBMIT

Send us your current investment statements via our secure e-mail address and/or private fax lines.

3 ANALYZE

We will review your current holdings and provide your free portfolio review. All of your information will be held in the strictest con dence, in accordance with our commitment to your privacy and security.

† Offered through Park Wilshire I k p r o [k ^ `

Powerful Online Tools

Siebert Trading Platform

Customized Dashboard

As your needs change, your dashboard changes with you. It's easy as drag, drop, and delete. Access these features plus many more:

- Asset Charts
- Balances
- News
- Stock Ticker
- Quotes
- Order Status
- Positions
- Graphs

Order Management

- Stocks
- Limit Orders
- Stop Orders
- Mutual Funds
- Options
- Complex Options
- Buy/Writes
- OTC Markets
- ETFs

The Siebert mobile app o□ers quick, easy account access on the go.

When you're ready to start investing, all the basics are right at your fingertips. We make it quick and easy for you to open your Siebert account and start trading.

Check portfolio activity, online statements, trade confirmations, account balances and positions anywhere and any time of the day.

Visit **www.Siebert.com** to get started.

Great mobile app for online traders!

Managed Portfolios

Automatically rebalanced, smart portfolios[3]. Built to help you reach your goals and invest in what matters.

The SiebertNXT[2] platform directly selects a mixture of exchange-traded funds (ETFs) & exchange-traded notes (ETNs)[4] and builds them into your portfolios tailored to fit your investment profile. We match your responses from our Investor Profile Questionnaire with portfolios that support your risk tolerance ranging from very conservative, conservative, moderate, moderately aggressive, and aggressive.

Our Portfolios Are Constantly Evolving

We evaluate how every investment a□ects your overall portfolio's risk and return by utilizing Modern Portfolio Theory†. Our programs will help you construct a diversified asset allocation strategy that seeks to help maximize returns at your given level of risk.

Our Wealth Managers work closely with you to create a diversified portfolio tailored to suit your specific needs.

Find out which portfolio is right for you.

www.siebert.com/SiebertNXT

How It Works

To get started, here's what you can expect:

1. COMPLETE OUR INVESTOR QUESTIONNAIRE
   We'll better understand your financial goals, risk tolerance and timeline.
2. GET MATCHED WITH A DIVERSIFIED PORTFOLIO
   Complete with ETFs & ETNs that seek to meet your investment objectives.
3. CHOOSE YOUR PLAN
   Standard to invest online only or SiebertNXT Premiere to receive both online investing plus 1:1 unlimited guidance from a Wealth Manager.
4. CHECK IN ON YOUR TERMS
   We monitor your portfolio daily and automatically rebalance[3] it when needed.

† Your investment goal should be to maximize your return for the amount of risk that you are comfortable accepting. To do this, you need a properly allocated and diversified portfolio. This is the basis of Modern Portfolio Theory (MPT). Developed by Nobel Laureate Harry Markowitz and refined by other noted economists over the years, MPT suggests that you can limit the volatility in your portfolio while improving its performance by spreading the risk among di□erent types of securities that don't always behave the same way.

SiebertNXT + Wealth Manager

SiebertNXT Premiere combines the benefit of our Smart Portfolios[3] and access to a dedicated Wealth Manager who takes a compehensive, holistic approach to building your financial plan.

INVEST WITH CONFIDENCE.

Proactive + consultative

We work with our clients to identify an appropriate asset allocation, then tailor a specific smart portfolio. We will work with you to create a customized managed account that includes monthly reviews, ongoing re-balancing, and regular engagement.

A hands-on approach

Allow us to handle all your Wealth Management needs so that you can spend your time enjoying life to the fullest.

Siebert works with major corporations to help manage and administer equity compensation plans. An employee stock plan account provides full access

StockPlan Advantages

SUPERIOR SUPPORT

Knowledgeable and experienced investment representatives can help participants achieve the most from their portfolio.

A VARIETY OF INVESTMENT CHOICES

With access to numerous investment options and brokerage account types, participants have all the freedom to build their own well-balanced portfolio.

24/7 ACCOUNT ACCESS

Easily access and manage online grant acceptance, tax elections, plan-enrollment and contribution in your employee stock plan account.

Access to Personal Financial Advice

If you have earnings to re-invest, you can begin to put your equity to work by building and taking control of your financial future. Our financial advisors can work with you to consolidate, rollover and incorporate your holdings into a comprehensive plan.

We make it easy to open stock plan accounts online at **www.siebert.com.**

Account Protection

Helping protect our customers' assets is an important part of our commitment to providing the best service possible.

NYSE MEMBER

Muriel Siebert & Co., Inc. has been in business and a member of the New York Stock Exchange since 1967.

FINRA MEMBER

Siebert is a proud member of the Financial Industry Regulatory Authority (FINRA), the largest independent regulator of securities firms in the U.S.

FDIC INSURED CDS

You may choose to invest in FDIC insured certificate of deposits as a part of your Siebert portfolio. We o□er FDIC insured CDs from a variety of, local, regional, and national banks.

Visit www.FDIC.gov for more information.

SIPC PROTECTION

Siebert is a member of the Securities Investment Protection Corporation (SIPC), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). This does not protect your account against loss in the market value of securities.

Explanatory brochure available upon request or at www.sipc.org.

LONDON INSURANCE

Siebert provides supplemental coverage of $20 million per client ($50 million aggregate) by a London Insurer. This coverage protects against brokerage insolvency and does not protect against loss in market value of securities.

The safety and security of your account is the #1 priority at Siebert.